

## Key investor information

This document provides you with key investor information about this UCITS. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this UCITS. You are advised to read it so you can make an informed decision about whether to invest.

### Axiom Equity

A Compartment of AXIOM LUX,  
Share Class C (EUR) – ISIN code: LU1876459212  
Management Company: AXIOM Alternative Investments

#### OBJECTIVES AND INVESTMENT POLICY

The main characteristics of the Compartment are:

- The Compartment is sectoral: Financial Services industry.
- The investment objective of this Compartment is to achieve, over a minimum 5-year investment horizon, a return net of management fees similar to or greater than that of its benchmark Stoxx Europe 600 Banks Net Return, by taking advantage of major opportunities in the international equity market;
- The Compartment investment policy is to select equity securities issued by European financial institutions primarily large capitalization;
- The Compartment is constantly exposed to equity markets. More specifically, a minimum 75% of the Compartment's net assets will be constantly invested in issuers from the financial industry of any market capitalisation domiciled in any EU member state as well Norway and Iceland, and subject to corporation tax (IS), or in shares or units from UCITS eligible for the PEA (equity savings scheme);

- For exposure or hedging of the portfolio, the Compartment may intervene in Luxembourg and / or foreign regulated futures markets and use OTC financial instruments to hedge currency risk. These instruments may not exceed 100%.
- Since part of the assets may be denominated in currencies other than the base currency, the Compartment may be exposed to currency risk;

Others information:

- Management is fully discretionary. Arbitrage operations can be executed depending on market conditions and opportunities;
- The recommended investment period is five years;
- The UCITS reinvests its income;
- Share subscription and redemption orders can be placed at any time and are centralised no later than noon on every valuation day and executed daily.

#### RISK AND REWARD PROFILE

Lower risk ,  
Typically lower rewards

Higher risk ,  
Typically higher rewards



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The level 6 risk and reward indicator reflects the Compartment overall exposure to interest-rate markets through high-yield, speculative securities, which offer high yields in compensation for a higher risk of default.

- The lowest category does not mean "risk-free".
- The risk category for this Compartment is not guaranteed to remain unchanged and may shift over time.
- The Compartment does not offer the investor any capital protection;

**Risks materially relevant to the UCITS which are not adequately captured by the indicator:**

##### Risk related to the use of financial futures instruments (IFT)

As the Compartment, may invest in derivatives, the net asset value may fall more significantly than the markets and financial instruments underlying these products. The occurrence of this risk may lead to a reduction in the net asset value of the Compartment.

##### Equity risk

Due to its investment objective, this Compartment is exposed to equity risk. Therefore, its value may decrease when the equity market declines, especially when prices of financial stocks decrease.

## CHARGES FOR THIS COMPARTMENT

The charges you pay are used to pay the costs of running the UCITS, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

One-off charges taken before or after you invest	
Entry charge	Maximum 2%
Exit charge	Maximum 2%
The percentage shown is the maximum that might be taken out of your money before it is invested or before the proceeds of your investment are paid out. In some cases, you might pay less. You can find out the actual entry and exit charges from your financial advisor or distributor.	
Charges taken from the UCITS over a year	
Ongoing charges	Maximum 2.15% per year (including taxes) *
Charges taken from the UCITS under certain specific conditions	
Performance fees	20% (All taxes included) maximum of the Compartment's annual performance beyond the performance of the benchmark: Stoxx Europe 600 Banks Net Return.

\*. Ongoing charges may vary from year to year. They exclude performance fees and portfolio transaction costs.

**For more information about charges, please refer to the Compartment's prospectus.**

## PAST PERFORMANCE

The UCIT was created in 2018.

Past performance is not a reliable indicator of future results.

Performance is not constant over time. Performance data does not include any entry and exit charges that you might have to pay but include ongoing charges, intermediation and performance fees taken from the Compartment. Past performance was calculated in euros with net coupons reinvested.

\* Benchmark : Stoxx Europe 600 Banks Net Return

## PRACTICAL INFORMATION

- Depository : CACEIS Bank, Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg, Grand Duchy of Luxembourg.
- Copies of the SICAV's prospectus, annual reports and latest periodical publications are available from the management company along with all other practical information, by simple written request to: Axiom Alternative Investments – 39, Avenue Pierre 1<sup>er</sup> de Serbie – 75008 Paris (France) or by email to: [contact@axiom-ai.com](mailto:contact@axiom-ai.com)
- Tax treatment: depending on your tax status, any capital gains or income resulting from the ownership of shares may be subject to tax. We advise you to obtain further information in this regard from your advisor or distributor.
- The net asset value is available from the management company at the above postal address or on its website [www.axiom-ai.com](http://www.axiom-ai.com).
- Axiom Alternative Investments may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus for this SICAV.
- Information about other share classes is also available from the management company.
- Annual and half-yearly reports for this SICAV are available from the management company.
- AXIOM Alternative Investments has set up a remuneration policy, it is available on the following website: [http://www.axiom-ai.com/index.php?page=Informations\\_Reglementaires&lng=FR](http://www.axiom-ai.com/index.php?page=Informations_Reglementaires&lng=FR) . A handwritten paper copy of this remuneration policy is available on request.

**The SICAV is authorised in Luxembourg and supervised by the Commission de Surveillance du Secteur Financier (CSSF).**

**Axiom Alternative Investments is regulated by the Autorité de Marché Financiers (AMF).**

**This key investor information is accurate as of August 31st, 2018.**